

01-24-02

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Please type plus sign inside this box + PTO/SB/05 (03/01) Approved for use through 10/31/2002 OMB 0651-0032

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 I.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

1. ■ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2. □ Applicant claims small entity status.  
See CFR 1.27.
3. ■ Specification [Total Pages / 28]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. □ Drawing(s) (35 USC 113) [Total Sheets / ]
5. ■ Oath or Declaration [Total Pages / 3 / ]
  - a. □ Newly executed (original or copy)
  - b. □ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*
  - i. □ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. □ Application Data Sheet. See 37 CFR 1.76

Attorney Docket No. 04645.0842  
**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

7. □ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a. □ Computer Readable Form (CRF)
  - b. □ Specification Sequence Listing on:
    - i. □ CD-ROM or CD-R (2 copies); or
    - ii. □ paper
  - c. □ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. □ Assignment Papers (cover sheet & document(s))
10. □ 37 CFR 3.73(b) Statement □ Power of Attorney  
*(when there is an assignee)*
11. □ English Translation Document *(if applicable)*
12. ■ Information Disclosure Statement (IDS)/PTO-1449 ■ Copies of IDS Citations
13. □ Preliminary Amendment
14. ■ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15. □ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. □ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ■ Other: Check for \$848.00

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation       Divisional       Continuation-in-part (CIP)      of the prior application No:

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here)		or ■ Correspondence address below	
NAME		Michael F. Scalise			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo		STATE	New York	ZIP CODE
COUNTRY	United States of America		TELEPHONE	(716) 856-4000	FAX
Name (Print/Type)	Michael F. Scalise		Registration No. (Attorney/Agent)	34,920	
Signature			Date	November 13, 2001	

"Express Mail" Mailing Label Number EE209745086US

Date of Deposit November 13, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR

1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty

Name

Signature

BFLODOCS:621122

**FEE TRANSMITTAL  
for FY 2002**

*Patent Fees are subject to annual revision.*

Application Number	
Filing Date	11/13/2001
First Named Inventor	Gan et al.
Examiner Name	
Group/Art Unit	

TOTAL AMOUNT OF PAYMENT	(\$848.00)	Attorney Docket Number	04645.0842
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES					
Deposit Account Number: 08-2442 Deposit Account Name: Hodgson Russ LLP		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17		105	130	205	65	Surcharge - late filing fee or oath	\$
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		139	130	139	130	Non-English specification	\$
FEE CALCULATION		147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$
1. BASIC FILING FEE		112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
Large Entity Small Entity		113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$
Fee Code (\$)	Fee Code (\$)	Fee Paid	Fee Description				
101 240 201 370 Utility filing fee	\$740	115	110	215	55	Extension for reply within first month	\$
106 330 206 165 Design filing fee	\$	116	400	216	200	Extension for reply within second month	\$
107 510 207 255 Plant filing fee	\$	117	920	217	460	Extension for reply within third month	\$
108 740 208 370 Reissue filing fee	\$	118	1,440	218	720	Extension for reply within fourth month	\$
114 160 214 80 Provisional filing fee	\$	128	1,960	228	980	Extension for reply within fifth month	\$
* SUBTOTAL (1)		\$740	119	320	219	Notice of Appeal	\$
2. EXTRA CLAIM FEES		Extra Fee from Claims below	Fee Paid				
Total Claims / 26 / -20** = / 6 / x / 18 / =	\$108	120	320	220	160	Filing a brief in support of an appeal	\$
Independent Claims / 3 / - 3** = / 0 / x / 84 / =	\$0	121	280	221	140	Request for oral hearing	\$
Multiple dependent / / x / / =	\$	138	1,510	138	1,510	Petition to institute a public use proceeding	\$
Multiple dependent / / x / / =	\$	140	110	240	55	Petition to revive - unavoidable	\$
Large Entity Small Entity		Fee Paid					
Fee Code (\$)	Fee Code (\$)	Fee Description					
103 18 203 9 Claims in excess of 20	\$108	141	1,280	241	640	Petition to revive - unintentional	\$
102 84 202 42 Independent claims in excess of 3		142	1,280	242	640	10 advance copies Utility issue fee (or reissue)	\$
104 280 204 140 Multiple dependent claim if not paid		143	460	243	230	Design issue fee	\$
109 84 209 42 **Reissue independent claims over original patent		144	620	244	310	Plant issue fee	\$
110 18 210 9 **Reissue claims in excess of 20 and over original patent		122	130	122	130	Petitions to the Commissioner	\$
SUBTOTAL (2)	\$108	123	50	123	50	Processing fee under 37 CFR 1.17(q)	\$
SIGNATURE: <i>Michael Scalise</i>		145	180	126	180	Submission of Information Disclosure Statement	\$
Michael F. Scalise	Reg. No. 34,920	146	740	246	370	Recording each patent assignment per property (times number of properties)	\$
DATE: November 13, 2001	Telephone: (716) 848-1258	147	740	249	370	Filing a submission after final rejection(37 CFR 1.129(a))	\$
"Express Mail" Mailing Label Number: EE209745086US		148	900	169	900	For each add'l invention to be examined(37 CFR 1.129(b))	\$
Date of Deposit: November 13, 2001		149	740	279	370	Request For Continued Examination (RCE)	\$
*Reduced by basic filing fee paid						SUBTOTAL (3)	\$0

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Barbara Haggerty  
Name

*Barbara Haggerty*  
Signature

November 13, 2001  
Date of Signature

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